Docket No.

265774US0X PCT

#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF:

Oliver MOELLER, et al.

SERIAL NO: 10/525,376

GAU:

FILED:

February 23, 2005

**EXAMINER:** 

FOR:

PROCESS FOR THE HYDROFORMYLATION OF OLEFINICALLY UNSATURATED COMPOUNDS, IN

PARTICULAR OLEFINS, IN THE PRESENCE OF CYCLIC CARBONIC ESTERS

### **INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR 1.97**

COMMISSIONER FOR PATENTS ALEXANDRIA, VIRGINIA 22313

Applicant(s) wish to disclose the following information.

#### REFERENCES

- The applicant(s) wish to make of record the references listed on the attached form PTO-1449. Copies of the listed references are attached, where required, as are either statements of relevancy or any readily available English translations of pertinent portions of any non-English language references.
- A check or credit card payment form is attached in the amount required under 37 CFR §1.17(p).

#### RELATED CASES

- Attached is a list of applicant's pending application(s), published application(s) or issued patent(s) which may be related to the present application. In accordance with the waiver of 37 CFR 1.98 dated September 21, 2004, copies of the cited pending applications are not provided. Cited published and/or issued patents, if any, are listed on the attached PTO form 1449.
- A check or credit card payment form is attached in the amount required under 37 CFR §1.17(p).

#### CERTIFICATION

- ☐ Each item of information contained in this information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement.
- ☐ No item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the undersigned, having made reasonable inquiry, was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of this statement.

#### DEPOSIT ACCOUNT

Please charge any additional fees for the papers being filed herewith and for which no check or credit card payment is enclosed herewith, or credit any overpayment to deposit account number 15-0030. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

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Surinder Sachar

Registration No. 34,423

Form PTO 1449 U.S. DEPARTMENT OF COMMERCE (Modified) PATENT AND TRADEMARK OFFICE		ATTY DOCKET NO.		SERIAL NO.				
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				U.S. PATENT DOCUMENTS				
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME CLASS SUB CLASS IF			FILING DATE IF APPROPRIATE	
	AA	3 992 453	11/16/76	MASSIE				
	AB	6 187 962	02/13/01	ARNOLDY et al.				
	AC	5 648 554	07/15/97	MORI et al.				
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	AS	2 062 703	07/01/71	DE (equivalent of GB 1338237)				NO
	AT	27 15 685	10/13/77	DE (equivalent of US 4247486)				NO
	AU	28 02 922	07/27/78	DE (equivalent of GB 1599921)				NO
	AV	0 017 183	10/15/80	EP				NO
	AW	31 26 265	01/20/83	DE				NO
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LIST OF REFERENCES CITED BY APPLICANT   CHINA DELLER, et al.	Form PTO 1449 U.S. DEPARTMENT OF COMMERCE (Modified) PATENT AND TRADEMARK OFFICE			ATTY DOCKET NO.		SERIAL NO.		
LIST OF REFERENCES CITED BY APPLICANT   Oliver MOELLER, et al.   FILING DATE   GROUP   February 23, 2005   FOREIGN PATENT DOCUMENTS   TRANSLATION   YES   NO   NO   (with English abstract & equivalent of US   NO   NO   NO   NO   NO   NO   NO   N				265774US0X PCT		10/525,376		
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BAA   09268152   10/14/97   JP (English abstract only)   NO	· AAZ				of US		NO	
BAC   199 54 721   05/17/01   DE (equivalent of US 2003/0144559)   NO	BAA	09268152				NO		
BAD   199 54 510   05/17/01   DE (equivalent of US 6403837)   NO     BAE   95/06627   03/09/95   WO   NO     BAF   07082281   03/28/95   JP (English abstract only)   NO     BAG   1 953 641   01/21/71   DE (equivalent of US 3645891)   NO     BAH   1 312 076   04/04/73   GB   NO     BAI   8700881   11/01/88   NL   NO     BAJ   38 42 819   06/21/90   DE (equivalent of US 5174899)   NO     BAK   94/19104   09/01/94   WO   NO     BAL   196 32 600   02/19/98   DE (equivalent of US 5773667)   NO     Examiner   Date Considered    *Examiner: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in	BAB	198 10 794	09/16/99	DE (equivalent of US 6440891)			NO	
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BAF   07082281   03/28/95   JP (English abstract only)   NO	BAD	BAD 199 54 510 05/17/01 DE (equivalent of US 6403837)				NO		
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BAH   1 312 076   04/04/73   GB   NO	BAF	07082281	03/28/95	JP (English abstract only)			NO	
BAI 8700881   11/01/88   NL	BAG	1 953 641	01/21/71	DE (equivalent of US 3645891)			NO	
BAJ   38 42 819   06/21/90   DE (equivalent of US 5174899)   NO	ВАН	1 312 076	04/04/73	GB			NO	
BAK   94/19104   09/01/94   WO	BAI	8700881	11/01/88	NL			NO	
BAL   196 32 600   02/19/98   DE (equivalent of US 5773667)   NO     BAM   1 103 303   05/30/01   EP   NO     Examiner   Date Considered     *Examiner: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in	BAJ	38 42 819	06/21/90	DE (equivalent of US 5174899)			NO	
BAM 1 103 303 05/30/01 EP NO  Examiner  Date Considered  *Examiner: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in	BAK	94/19104	09/01/94	wo		NO		
Examiner Date Considered  *Examiner: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in	BAL	196 32 600	02/19/98	DE (equivalent of US 5773667)		NO		
*Examiner: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in	BAM	1 103 303	05/30/01	EP			NO	
*Examiner: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.	Examiner				Date Cor	nsidered		
	*Examiner: Initial if	reference is considered,	whether or no	ot citation is in conformance with MPEP 60	9; Draw li	ne through citation	if not in	

U.S. PCT Application Serial No.: 10/525,376 Docket No.: <u>265774US0X PCT</u>

# STATEMENT OF RELEVANCY

1) References have been cited in the International Search Report. Copies of these references are being submitted herewith only when not automatically provided by the International Searching Authority.							
		have been cited in the corresponding Search Report. es is being submitted herewith.					
•		BAM are discussed in the specification. A copy of submitted here with.					
	Referencesse references is being	are additional prior art known to Applicant. A copy of submitted herewith.					

## **LIST OF RELATED CASES**

Docket Number	Serial or Patent Number	Filing or Issue Date	Patent Appl.  Publication No.	Inventor/ Applicant
265774US0XPCT*	10/525,376	02/23/05		MOELLER, et al.
262605US0XPCT	10/519,557	12/28/04		MOELLER, et al.

<sup>\*</sup>Present Application; listed for information NFO/hah